

The University of Tokyo Rules on the Management Policy Council

Established on September 26, 2024

Board Resolution

The University of Tokyo Rules No. 37

Article 1 Purpose

These Rules, based on Article 5, Paragraph 3 of the University of Tokyo Rules on Basic Organizations (Rules No. 1 of 2004), stipulate the necessary matters concerning the organization and operation of the University of Tokyo Management Policy Council (hereinafter referred to as the "Management Policy Council") established by the National University Corporation, the University of Tokyo (hereinafter referred to as the "University Corporation").

Article 2 Organization

1. The Management Policy Council shall be composed of the following members.

However, members listed in Items 2 to 6 (hereinafter referred to as "Management Policy Members") shall be appointed by the President from among those specified in Article 21-4, Paragraph 2 of the National University Corporation Act (Act No. 112 of 2003, hereinafter referred to as the "Corporation Act") based on the Selection Policy for University of Tokyo Management Policy Members (Board Approval on September 26, 2024), considering the requirements of each Item.

(1) President

(2) One Executive Vice President responsible for academic resource allocation

(3) One Executive Vice President responsible for finance

(4) One Executive Vice President deemed appropriate from among the Executive Vice Presidents

(5) Three members recommended by the Education and Research Council from among the faculty and staff of the University of Tokyo (excluding Vice Presidents, Executive Directors, and Executive Staff of the University Corporation)

(6) Seven members recognized as having broad and high insight into the university, who are not officers or employees of the University Corporation

2. The President must obtain the approval of the Minister of Education, Culture, Sports, Science and Technology after consulting with the University President Screening & Inspection Committee for the appointments mentioned in the previous paragraph.

3. The President must seek the opinions of the Administrative Council and the Education and Research Council before consulting with the University President Screening & Inspection Committee for the appointments mentioned in Items 2 to 4 and 6 of Paragraph 1.
4. The President must fully respect the recommendations of the Education and Research Council for the appointments mentioned in Item 5 of Paragraph 1.
5. The Management Policy Council shall consist of internal members (the President and the Management Policy Members listed in Items 2 to 5 of Paragraph 1) and external members (the Management Policy Members listed in Item 6 of Paragraph 1). The number of internal and external members shall be equal.
6. The following individuals cannot become Management Policy Members:
 - (1) Government or local government employees (excluding part-time employees)
 - (2) Members of the University President Screening & Inspection Committee
 - (3) Former Presidents of the University Corporation

Article 3 Term of Office of Management Policy Members

The term of office of Management Policy Members shall be separately determined after deliberation by the University President Screening & Inspection Committee.

Article 4 Chairperson

1. The Management Policy Council shall have a Chairperson elected from among the Management Policy Members.
2. The Chairperson shall convene and preside over the Management Policy Council meetings.

Article 5 Duties and Authority

1. The Management Policy Council shall deliberate and decide on the following matters (hereinafter referred to as "Management Policy Matters"):
 - (1) Matters concerning opinions on medium-term goals
 - (2) Matters concerning the creation or modification of medium-term plans
 - (3) Matters concerning the preparation of financial statements submitted under Article 38, Paragraph 1 of the Act on General Rules for Incorporated Administrative Agencies (Act No. 103 of 1999, hereinafter referred to as the "General Rules Act") as applied mutatis mutandis by Article 35-2 of the Corporation Act
 - (4) Matters concerning the preparation of budgets

- (5) Matters concerning the preparation of business reports and settlement reports attached under Article 38, Paragraph 2 of the General Rules Act as applied *mutatis mutandis*
2. The Management Policy Council shall supervise whether the University Corporation's operations are appropriately conducted based on the content of the Management Policy Matters decided in the previous paragraph. If it is recognized that the operations are not appropriately conducted, the Council may request the President to take necessary measures to improve the operations.
 3. If the Management Policy Council recognizes that the President may fall under the cases specified in Article 17, Paragraph 2 or 3 of the Corporation Act, it must promptly report this to the Presidential Selection and Monitoring Council.
 4. The Management Policy Council may offer opinions to the University President Screening & Inspection Committee on matters concerning the selection criteria and other matters related to the selection of the President under Article 12, Paragraph 6 of the Corporation Act. However, these opinions shall reflect the Management Policy Matters and the Research System Strengthening Plan for Universities for International Research Excellence and shall not include specific presidential candidate proposals.
 5. The Management Policy Council shall deliberate and decide on matters concerning the creation or modification of the Research System Strengthening Plan for Universities for International Research Excellence and supervise the execution of related operations.

Article 6 Dismissal of Management Policy Members

1. The President must dismiss a Management Policy Member if they become a government or local government employee (excluding part-time employees).
2. The President may dismiss a Management Policy Member if they are recognized to fall under any of the following items:
 - (1) If they are recognized as unable to perform their duties due to mental or physical disorders
 - (2) If they are recognized as having committed a serious breach of duty
 - (3) If they are recognized as otherwise unsuitable as a Management Policy Member
3. The President must obtain the approval of the Minister of Education, Culture, Sports, Science and Technology after consulting with the University President Screening & Inspection Committee before dismissing a Management Policy Member under the previous paragraph. However, for the Management Policy Members specified in

Item 5 of Paragraph 1 of Article 2, the President must seek the opinions of the Education and Research Council before consulting with the University President Screening & Inspection Committee.

Article 7 Confidentiality Obligation

Management Policy Members must not disclose any confidential information obtained in the course of their duties. This obligation continues even after they leave their position.

Article 8 Administration

The administration of the Management Policy Council shall be carried out by the Management Strategy Group of the Central Administration.

Article 9 Supplementary Provisions

In addition to the matters specified in these Rules, the procedures for the proceedings of the Management Policy Council and other necessary matters for its operation shall be determined by the Chairperson after consulting with the Management Policy Council.

Supplementary Provisions

These Rules shall come into effect on October 1, 2024.